THE NORTH CAROLINA STATE BAR

OFFICE OF COUNSEL

2007 ANNUAL REPORT
DISCIPLINARY STAFF

L. Thomas Lunsford, II, Executive Director  Ext. 244
Katherine E. Jean, Counsel  Ext. 276
Margaret T. Cloutier, Sr. Deputy Counsel  Ext. 239
A. Root Edmonson, Deputy Counsel  Ext. 229
Fern Gunn Simeon, Deputy Counsel  Ext. 258
David R. Johnson, Deputy Counsel  Ext. 230
Jennifer A. Porter, Deputy Counsel  Ext. 262
Nicole McLaughlin, Deputy Counsel  Ext. 238
Carmen K. Hoyme, Deputy Counsel  Ext. 283
Robert A. Crabill, Deputy Counsel  Ext. 279
Brian P.D. Oten, Deputy Counsel  Ext. 226
Leanor Bailey Hodge, Deputy Counsel  Ext. 299

Donald H. Jones, Director of Investigations  Ext. 222
Harry B. Warren, Investigator  Ext. 235
David Frederick, Investigator  Ext. 225
Edward White, Investigator  Ext. 263
Reginald Shaw, Investigator  Ext. 266
Walter Harlow, Investigator  Ext. 282
Tim Batchelor, Investigator  Ext. 274
Glenn Sexton, Investigator  Ext. 292
Roger Allen, Investigator  Ext. 265
Eddie Capel, Investigator  Ext. 294
Bruno DeMolli, Auditor  Ext. 224

Heather Pattle, Administrator  Ext. 227
Sonya Sells, Paralegal  Ext. 277
Becky Carroll, Paralegal  Ext. 234
Wondella Payne, Paralegal  Ext. 296
Lori Reams, Administrative Assistant  Ext. 232
Dawn Whaley, Administrative Assistant  Ext. 278
Joshua Hoffman, Administrative Assistant  Ext. 295

ATTORNEY/CLIENT ASSISTANCE PROGRAM STAFF

Luella Crane, Director& Fee Dispute Mediator  Ext. 251
Krista Bathurst, Fee Dispute Mediator  Ext. 228
Sandra Saxton, Public Liaison  Ext. 264
Melanie Kincaid, Public Liaison.  Ext. 233
Judy Treadwell, Public Liaison  Ext. 260
Diane Melching, Administrative Asst.  Ext. 287
OFFICE OF COUNSEL

The Office of Counsel is the department of the North Carolina State Bar that receives and handles complaints concerning attorney misconduct and the unauthorized practice of law. Within the Office of Counsel are the disciplinary staff, the Authorized Practice staff, the Investigations Department and the Attorney/Client Assistance Program (ACAP) staff. The disciplinary staff receives, investigates and, where necessary, litigates claims of professional misconduct and disability against North Carolina attorneys. It also assists the Authorized Practice Committee to investigate and resolve complaints concerning the unauthorized practice of law by non-lawyers. The Office of Counsel also represents the State Bar in the federal and state trial courts and in federal and state appellate courts. The Office provides legal counsel to the Client Security Fund Board of Directors and pursues subrogation actions for recovery of funds paid by the CSF.

The ACAP staff helps members of the public resolve problems with attorneys other than matters involving potentially serious violations of the Revised Rules of Professional Conduct. The ACAP staff also provides information about the grievance process, the courts and justice system and helps resolve disputes between lawyers and their clients regarding fees.

The Office of Counsel includes eleven attorneys, one administrator, three paralegals, three administrative assistants and 10 investigators, including four financial auditors. The Attorney Client Assistance Program staff includes its director, who is also a fee dispute mediator, a second fee dispute mediator, an administrative assistant and three public liaisons. The Office of Counsel is located in the State Bar Building, 208 Fayetteville Street, Raleigh, N.C. 27611.

The Office of Counsel is open from 9 a.m. until 5 p.m. on weekdays and may be reached at 919-828-4620. Each staff person’s telephone extension appears on page 2 of this report.

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OFFICE OF COUNSEL
THE NORTH CAROLINA STATE BAR

Attorney Client Assistance Program

The Attorney/Client Assistance Program (“ACAP”) is comprised of six staff members; three public liaisons, two mediators and one administrative assistant. During 2007, the staff responded to a total of 21,110 calls from members of the general public, a significant increase over the 17,956 received in 2006. As a result of those calls, 2,789 attorneys were contacted in an attempt to resolve a concern expressed by the client. Additionally, the ACAP staff responded to 2,384 letters from inmates and the general public regarding the representation provided by an attorney. Staff also responded to 686
email messages from the general public requesting information. A graph summarizing call data for the last several years appears on page 19.

This past year there were 699 requests for fee dispute resolution filed with the State Bar; 147 of these requests were sent to one of the four judicial districts having a fee dispute committee, 34 were filed locally and monitored by the State Bar, the remaining 518 files were investigated and mediated, when appropriate, by the ACAP mediators. A graph summarizing the number of fee dispute cases handled by the State Bar since 1995 appears on page 20.

**Authorized Practice Committee**

The Authorized Practice Committee opened 120 files in 2007, contrasted with the 113 files opened in 2006. With the assistance of staff, the Committee completed 130 files in 2007.

On October 10, 2007, the Office of Counsel obtained an injunction in Wake County Superior Court against Nick Shelly, a Canadian resident who operated an internet divorce document preparation service called “Law Online.” The injunction prohibits Shelly from preparing or offering to prepare legal documents for others and from acting as anything other than a scrivener service in providing form documents.

The Office of Counsel also represented the State Bar in *State of North Carolina and the North Carolina State Bar v. American Family Legal Plans* in Wake County Superior Court. In conjunction with the Attorney General’s office, the State Bar sued American Family Legal Plans ("AFLP") for an injunction to prohibit its engaging in the unauthorized practice of law in its marketing a purported prepaid legal service plan that targeted seniors with a sales pitch to convince them to purchase a revocable living trust. After a contested hearing, the court entered a preliminary injunction on October 16, 2006. The State and the State Bar moved for summary judgment. On the eve of the summary judgment hearing, AFLP and one of the individual defendants filed bankruptcy. The court deferred consideration of the summary judgment motion against these defendants until the bankruptcy court notifies the court that the State Bar’s action is exempt from the bankruptcy action. The court granted summary judgment against the insurance corporate defendant on unfair and deceptive trade practices only. The court denied summary judgment against the other individual defendant.

Finally, the State Bar sued disbarred West Virginia attorney P. Lee Clay for an injunction to prevent his practicing immigration law. Clay failed to answer. Counsel is seeking a default judgment.
Grievance Committee Actions

During the calendar year 2007, a total of 1,471 grievance files were opened by the State Bar and the district bar offices, compared with the 1,398 grievances filed in 2006. A chart summarizing the number of grievances filed each year since 1986 appears on page 21.

All grievances received by the North Carolina State Bar must be considered and acted upon by one or more members of the Grievance Committee. The Committee considered a total of 1,361 grievances during 2007. Of those grievances, 1,055 or about 78 percent were dismissed. In addition to the grievances that were dismissed outright in 2007, 20 grievances were dismissed with letters of caution and another 60 grievances were dismissed with letters of warning.

In other activity during calendar 2007, the Grievance Committee issued admonitions in 25 cases, reprimands in 24 cases and censures in 7 cases. A total of 89 cases were referred for trial before the Disciplinary Hearing Commission (DHC). Consequently, for the year, a total of 225 grievances resulted in imposition of discipline by the Grievance Committee or referral to the DHC. That figure represents approximately 17 percent of the grievances considered by the Committee in 2007. An itemized report detailing the disposition of the grievances considered by the Committee in 2007 appears on page 15.

Completed Disciplinary Hearing Commission Actions

1. Disciplinary and Disability Cases

The DHC is the independent tribunal which hears attorney disciplinary cases. The 20-member Commission hears disciplinary matters involving alleged violations of the Revised Rules of Professional Conduct, cases in which it is alleged that an attorney is disabled, petitions from disbarred attorneys seeking reinstatement to the practice of law and show cause petitions alleging that an attorney has violated a prior DHC order. Each case is heard by a panel of 3, including two lawyers and one layperson.

During 2007, the staff attorneys completed a total of 27 disciplinary, reinstatement and show cause cases before the DHC. Of those, 20 were resolved by trial and 7 by consent. In 2006, staff attorneys completed 46 such cases. Of those, 30 were resolved by consent and 16 were tried.

In both 2007 and 2008, the DHC entered 8 orders of disbarment. In 2007, in 5 of the disbarment cases heard by the Commission, the attorney embezzled or misappropriated client funds. One disbarment case was the result of conduct involving dishonesty, fraud deceit and misrepresentation and one case involved a conviction in federal court for possession of child pornography. In a very public DHC trial, Michael B. Nifong was disbarred for concealing exculpatory evidence from defendants in a criminal
case, lying to the court on multiple occasions about the exculpatory evidence and making
dozes of improper extrajudicial statements to the news media.

Also in 2007, the Commission reprimanded 3 attorneys and admonished 1
attorney for less serious violations of the Rules of Professional Conduct. Two cases were
voluntarily dismissed by staff when the defendant-attorneys accepted the discipline
originally imposed by the Grievance Committee. One case was dismissed by the DHC.
Two attorneys' stayed suspensions were activated after show cause hearings. Two
attorneys were given active suspensions and 7 attorneys were given active suspensions
with the ability to seek a stay of a portion of the suspension upon compliance various
conditions.

A chart summarizing the numbers of DHC cases filed each year since 1985
appears on page 22.

2. Reinstatement Cases

Two petitions for reinstatement from disability inactive status were filed with the
DHC in 2007. The DHC heard 1 of these cases and entered an order of reinstatement. The
other case is set for hearing on February 8, 2008.

No petitions for reinstatement from disbarment were filed with the DHC in 2007.
One such petition was filed in 2006, one was filed in 2005 and two were filed in 2004. A
chart summarizing the outcome in reinstatement cases in recent years appears on page 23.

Actions Before the Council of the N.C. State Bar

1. Tenders of Surrender of License

In 2007, 3 lawyers surrendered their law licenses to the Council and were
disbarred. All 3 misappropriated entrusted funds. Seven attorneys surrendered their law
licenses and were disbarred by the Council during 2006. A chart summarizing the
numbers of surrenders and disbarments for each year since 1985 appears on page 24.

2. Reinstatement Proceedings

The State Bar Council did not hear any reinstatement proceedings in 2007. The
last time the Council considered a reinstatement case was in 2000.
**Actions Before the Secretary**

The Secretary receives reinstatement petitions from attorneys whose licenses are suspended for disciplinary violations and enters reinstatement orders in uncontested cases. Contested cases are referred to the DHC for trial.

Five North Carolina attorneys filed reinstatement petitions with the Secretary in 2007. Three petitions were granted without opposition and without a hearing. One was heard before the DHC and the petition was granted. One case is pending before the DHC.

**Actions Before the State Trial Courts**

1. **Appointment of Trustees of Law Practices of Missing, Deceased, Disabled & Disbarred Attorneys**

   In 2007, the Office of Counsel prepared petitions to the courts to appoint trustees to wind down the practices of 7 attorneys. Of that number, 1 attorney was missing or had abandoned his practice, 1 attorney was disbarred and did not follow the proper procedure for winding down her law practice and 5 attorneys had died. The State Bar filed 9 trustee petitions in 2006.

2. **Disciplinary and Disability Cases in the State Trial Courts**

   On October 4, 2007, James T. Stroud filed a petition to be transferred to active status in Gaston County Superior Court. Stroud had been transferred to disability inactive status by an interim order in October 2006. A pre-trial hearing was held on December 7, 2007 before the judge assigned to hear the case. The hearing is scheduled for February 18-19, 2008 in Gaston County Superior Court.

3. **Injunction Proceedings**

   During 2007, the Office of Counsel sought injunctions barring 5 attorneys from handling entrusted funds. The Office of Counsel filed such proceedings against 10 attorneys in 2006.

4. **Miscellaneous**

   As usual, the staff attorneys appeared in a variety of litigation matters in the state trial courts in 2007.

   During 2007, the staff represented the State Bar in trial level and appellate proceedings involving Willie Gilbert. Gilbert, whose law license was suspended by the DHC in 2000, filed a lawsuit in state court seeking to enjoin the State Bar from proceeding with a second DHC case on a separate issue of misconduct. Gilbert alleged
that the Bar had violated his constitutional rights by filing the second disciplinary action. The trial court entered a permanent injunction prohibiting the Bar from pursuing its disciplinary action and reserved judgment on monetary damages and attorneys’ fees. The Court of Appeals dismissed the Bar’s appeal as interlocutory. The Supreme Court allowed the Bar’s petition for discretionary review and heard oral argument in the case on December 11, 2007.

In June 2007, the State Bar filed a brief in Gilbert’s second appeal from the judgment the State Bar obtained in Wake County District Court awarding double damages for funds the Client Security Fund reimbursed to one of Gilbert’s clients. The case was scheduled to be considered by the Court without oral argument on October 15, 2007 and we await the court’s decision.

In the John G. McCormick bankruptcy case, counsel filed a verified request for payment of administrative expenses seeking reimbursement of the $53,870.16 the State Bar paid to wind down McCormick’s practice. The trustee objected to the request. At a hearing on March 22, 2007, the judge delayed consideration of the request until late in the bankruptcy when the judge would have more information on what assets have been recovered and what the competing claims will be. Counsel was asked to file an amended request deleting the pre-petition costs. On June 14, 2007, after a hearing, the judge denied the request to have the costs treated as an administrative expense.

The Attorney General represents the State Bar and the DHC in a Tort Claims Act case filed by Robert Talford. Talford contends the State Bar and the DHC acted wrongfully in disbarring him. The order of disbarment was later reversed on appeal. In 2007, the Deputy Commissioner orally announced his decision to dismiss Talford’s claims but has not entered a written order. Talford is expected to appeal when the order is entered.

The Attorney General also represents the State Bar in a Tort Claims Act case filed by Angela Dozier. Dozier sued the State Bar, Lawyers Mutual and her former lawyer for $3 million, but did not articulate for what acts or omissions she is suing. Our motion to dismiss has not yet been scheduled for hearing.

In October 2007, Catherine M. El-Khoury, Laura E. Oberbauer and W. Anthony Prucell brought an action against the State Bar, Tom Lunsford and the Administrative Committee members seeking a declaratory judgment that G.S. § 84-3, which mandates payment of a $50 surcharge by each active member of the State Bar, is unconstitutional. Plaintiffs also seek a permanent injunction prohibiting collection of the surcharge, a refund of surcharges already collected with interest, attorney fees and costs. The Attorney General represents the State Bar and has filed a motion to dismiss. No hearing has been scheduled.

In other work during the year, the staff appeared in Wake County Superior Court to obtain permission to disburse funds from the trust accounts of 9 disbarred, deceased or disabled lawyers.
Actions Before the State Appellate Courts

The staff represented the State Bar in several appellate matters.

The Court of Appeals reversed and remanded the DHC’s orders of discipline against Amiel Rossabi and Emily Meister. The Office of Counsel filed voluntary dismissals of the DHC cases.

The DHC ordered a partial activation of a stayed suspension of Mark Key following Key’s misconduct before the Wake County Superior Court. The Superior Court had entered an order finding Key in criminal contempt and suspended his privilege to practice in Wake County for a year. The Court of Appeals affirmed the DHC and the Supreme Court denied Key’s petition for discretionary review.

The Court of Appeals affirmed the DHC’s dismissal of the disciplinary action against Kenneth Honeycutt and Scott Brewer. The Supreme Court denied the State Bar’s petition for discretionary review on October 11, 2007.

The Court of Appeals and Supreme Court both denied Michael McGee’s petitions for certiorari. The underlying disciplinary action resulted in his federal lawsuit against the State Bar (see below).


Garey Ballance filed a document called “Appellate Entries” reciting that he gave “oral notice of appeal in open court” from entry of a DHC order suspending his license for 5 years. In a civil case, the Rules of Appellate Procedure require that one file written notice of appeal within 30 days of entry of the order from which appeal is taken and serve the notice of appeal with opposing counsel. Balance did neither. The DHC dismissed the appeal on January 7, 2008.

Elizabeth Lefler's appeal from a DHC order of discipline was dismissed.

Actions Before the Federal Courts

In September, 2007, the 4th Circuit dismissed members of the State Bar Administrative Committee as defendants in a lawsuit challenging the $50 surcharge. Plaintiffs did not appeal.

In 2007, the 4th Circuit affirmed the trial court's dismissal of a lawsuit filed by Michael McGee against the DHC, the State Bar, its officers and various employees. McGee had sought damages under 42 U.S.C. 1983 for discipline imposed upon McGee by the DHC in two separate proceedings. McGee allowed his time to petition the Supreme Court to expire.
On April 9, 2007, after receiving letters of notice from the AP Committee, ARBREP.com and Gerald Collette filed a lawsuit in the Middle District federal district court against “the Councilors of the North Carolina State Bar and an Unknown Number of Unidentified Agents of the State of North Carolina.” The plaintiffs sought a declaratory judgment that they are not engaged in the unauthorized practice of law because, they contend, the state statute prohibiting unauthorized practice is preempted by the Federal Arbitration Act. On November 16, 2007, the court dismissed the complaint on grounds that plaintiffs' claims are not ripe because the State Bar is simply making inquiry and is not presently attempting to prohibit plaintiffs' activities.
### Tenders of Surrender of License

<table>
<thead>
<tr>
<th>Attorney Name</th>
<th>File No.</th>
<th>Date of Council Disbarment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Edwin A. Peters</td>
<td>07BCS1</td>
<td>4/20/2007</td>
</tr>
<tr>
<td>John Lee</td>
<td>06BCS9</td>
<td>1/19/2007</td>
</tr>
<tr>
<td>D. Scott Turner</td>
<td>06BCS10</td>
<td>1/19/2007</td>
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</tbody>
</table>

### JUDICIAL DISBARMENTS

<table>
<thead>
<tr>
<th>Attorney Name</th>
<th>File No.</th>
<th>Date of Judicial Disbarment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Daniel Fulco</td>
<td>07CVS5892</td>
<td>8/13/2007</td>
</tr>
<tr>
<td>Robert K. Hunoval</td>
<td>07CVS018912</td>
<td>11/30/2007</td>
</tr>
</tbody>
</table>

### BEFORE THE DISCIPLINARY HEARING COMMISSION

#### Reinstatement Cases Completed in 2007

<table>
<thead>
<tr>
<th>Attorney Name</th>
<th>File No.</th>
<th>Disposition</th>
</tr>
</thead>
<tbody>
<tr>
<td>John G. Freeman</td>
<td>07BSR1</td>
<td>Reinstated from Suspension</td>
</tr>
<tr>
<td>Allen W. Rogers</td>
<td>07BSR2</td>
<td>Reinstated from Suspension</td>
</tr>
<tr>
<td>Bounthani Vongxay</td>
<td>07BSR3</td>
<td>Reinstated from Suspension</td>
</tr>
<tr>
<td>E. Daniels Nelson</td>
<td>07DR1</td>
<td>Reinstated from Disability</td>
</tr>
</tbody>
</table>

#### Completed Show Cause Cases

<table>
<thead>
<tr>
<th>Attorney Name</th>
<th>File No.</th>
<th>Disposition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mohammed Shyllon</td>
<td>03DHC12</td>
<td>Suspension Activated</td>
</tr>
<tr>
<td>David Craft</td>
<td>05DHC52</td>
<td>Suspension Activated</td>
</tr>
</tbody>
</table>
BEFORE THE DISCIPLINARY HEARING COMMISSION

Completed Discipline & Disability Cases

<table>
<thead>
<tr>
<th>File No.</th>
<th>Case Caption</th>
<th>Type of Misconduct</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>05DHC15</td>
<td>ELIZABETH HICKMON</td>
<td>Embezzlement/misappropriation of client funds</td>
<td>Disbarred</td>
</tr>
<tr>
<td>05DHC45</td>
<td>TERESA SMALLWOOD</td>
<td>Embezzlement/misappropriation of client funds</td>
<td>Disbarred</td>
</tr>
<tr>
<td>05DHC45</td>
<td>GAREY M. BALLANCE</td>
<td>Mishandled entrusted funds, tax evasion</td>
<td>5 year suspension</td>
</tr>
<tr>
<td>06DHC6</td>
<td>CHARLES D. BLACKWELL, II</td>
<td>Federal conviction of possession of child pornography</td>
<td>Disbarred</td>
</tr>
<tr>
<td>06DHC11</td>
<td>CHRISTOPHER LIVINGSTON</td>
<td>Unauthorized practice of law in U.S. District Court</td>
<td>Admonition</td>
</tr>
<tr>
<td>06DHC20</td>
<td>E. ELIZABETH LEFLER</td>
<td>Abandoned active practice; did not reconcile trust account</td>
<td>Suspended 5 yrs</td>
</tr>
<tr>
<td>05DHC21</td>
<td>LAWRENCE U. DAVIDSON, II</td>
<td>Misrepresented facts and collected unearned closing fees</td>
<td>Disbarred</td>
</tr>
<tr>
<td>06DHC29</td>
<td>JONATHAN M. BROOKS</td>
<td>False statements and falsified documents to the court, disclosed confidential client information</td>
<td>3 yr suspension, possible 2 yr stay</td>
</tr>
<tr>
<td>06DHC31</td>
<td>JANET P. REED</td>
<td>False representations to court, violations of local and general rules of practice</td>
<td>5 yr suspension stayed 5 yrs</td>
</tr>
<tr>
<td>06DHC32</td>
<td>MARVA MCKINNON</td>
<td>Failed to file a timely notice of appeal, drafted a divorce complaint while suspended</td>
<td>1 yr suspension, reinstated</td>
</tr>
<tr>
<td>06DHC33</td>
<td>MICHAEL P. HUGO</td>
<td>Failed to respond to a letter of notice</td>
<td>Reprimand</td>
</tr>
<tr>
<td>06DHC34</td>
<td>JOHN G. MCCORMICK</td>
<td>Embezzlement/misappropriation of client funds</td>
<td>Disbarred</td>
</tr>
<tr>
<td>06DHC35</td>
<td>MICHAEL B. NIFONG</td>
<td>Improper statements to media, lying to court</td>
<td>Disbarred</td>
</tr>
<tr>
<td>07DHC1</td>
<td>W. RICKERT HINNANT</td>
<td>Negotiated and executed a settlement without clients’ authority, did not reveal this to court</td>
<td>5 yr suspension, stayed 5 yrs</td>
</tr>
<tr>
<td>07DHC2</td>
<td>KENNETH B. DARTY</td>
<td>False statements to court</td>
<td>Dismissed</td>
</tr>
<tr>
<td>07DHC3</td>
<td>SCOTT E. HAWKINS</td>
<td>Engaged in the unauthorized practice of law in FL and SC, contacted a represented party and entered into a business transaction with his “client”</td>
<td>3 yr suspension, possible 2 yr stay</td>
</tr>
<tr>
<td>07DHC5</td>
<td>TRACY T. HATCHER</td>
<td>Filed a frivolous claim</td>
<td>Reprimand</td>
</tr>
</tbody>
</table>
07DHC6 KEITH L. CLARK Neglect of multiple client matters, failed to refund unearned fees, failed to participate in the fee dispute program, failed to respond to the State Bar 5 yr suspension, possible 2 yr stay

07DHC7 NANCY P. QUINN Failed to reconcile trust account, failed to disburse funds ordered by the court Reprimand

07DHC8 DAVID R. SHEARON Disbursed funds in a manner contrary to the HUD-1 Settlement statement, misrepresentations to client; failed to respond to State Bar 3 yr suspension, possible 2 yr stay

07DHC11 VAN H. JOHNSON Conflict of interest during representation of a client, failing to disclose pertinent facts about a case to a judge, misleading the State Bar Dismissed

07DHC15 LEROY R. CASTLE Failed to keep client reasonably informed about the status of case, failed to promptly comply with reasonable requests for information, failed to respond to the State Bar 2 yr suspension, possible 30 month stay

07DHC16 ALLAN L. SHACKELFORD Conflict of Interest Dismissed

07DHC18 WILLIAM C. MYERS Embezzlement/misappropriation of client funds Disbarred

07DHC26 RALPH T. BRYANT Embezzlement/misappropriation of client funds Disbarred

Reinstatement Cases Pending as of 12/31/07

<table>
<thead>
<tr>
<th>File Number</th>
<th>Case Caption</th>
<th>Hearing Date</th>
</tr>
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<tbody>
<tr>
<td>07DR2</td>
<td>Bonnie Lee O’Neal</td>
<td>2/8/08</td>
</tr>
<tr>
<td>07BSR4</td>
<td>Melvin L. Wall, Jr.</td>
<td>no date</td>
</tr>
</tbody>
</table>

Disciplinary & Disability Cases Pending as of 12/31/07

<table>
<thead>
<tr>
<th>Attorney Name</th>
<th>File No.</th>
<th>Trial Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>William Butner</td>
<td>01DHC2</td>
<td>TBA</td>
</tr>
<tr>
<td>Michael H. McGee</td>
<td>04DHC21</td>
<td>2/22/08</td>
</tr>
<tr>
<td>Ralph E. McLaurin</td>
<td>05DHC39</td>
<td>TBA</td>
</tr>
<tr>
<td>Rick F. Shumate</td>
<td>05DHC56</td>
<td>3/14&amp;15/08 (Interim Suspension)</td>
</tr>
</tbody>
</table>
Clyde G. Triggs 06DHC30 2/14&15/08
Tamla T. Scott 07DHC4 2/15/08
Creighton W. Sessomom 07DHC9 2/29/08
Shonna R. Alexander 07DHC10 3/14/08
Robert M. Talford 07DHC12 2/1/08
Peter K. Gemborys 07DHC13 Reschedule
Robert N. Weckworth, Jr. 07DHC14 2/8/08
Paul L. Erickson 07DHC17 3/28/08
Paul E. Hemphill 07DHC19 1/11/08
Jeffrey A. Howle 07DHC20 Reschedule
D. Bernard Alston 07DHC21 Reschedule
Charles E. Robinson 07DHC22 3/7/08
Amanda S. Smith 07DHC23 4/11/08
Thomas P. Heller 07DHC24 3/31/08
William L. Durham 07DHC25 3/7/08
Susanna G. Garza 07DHC27 4/4/08
Bradley R. Lamb 07DHC28 Reschedule
(Interim Suspension)
Michelle V. Mallard 07DHC29 4/11/08
Rhonda R. Hipkins 07DHC30 TBA

BEFORE THE STATE TRIAL COURTS

Appointment of Trustees Completed in 2007

Name of Missing, Disabled, Deceased Attorney Reason Trustee Sought
Evander Britt, III Deceased
Curtis Vaught Deceased
Lee Craig Biggar Deceased
Kirk Osborne Deceased
Elizabeth Hickmon Disbarred
Darryl Bolduc Abandonment
William D. McNaull, Jr. Deceased

Show Cause Cases Pending as of 12/31/07

Attorney Name File No. Trial Date
Randal S. Marsh 05DHC28 2/1/08
Injunction Proceedings in 2007

Edwin A. Peters
Daniel Fulco
Ralph T. Bryant
Robert K. Hunoval
Tonya Crew

BEFORE THE GRIEVANCE COMMITTEE

Totals for 2007

Total Grievances Filed – 1,471
Total Cases Considered by Committee – 1,361

Dismissed – 1,028
Cases dismissed & retained – 27
Dismissed with letters of caution – 20
Dismissed with letters of warning – 60
Referred to LAP, LMAP or both – 15
Admonitions – 25
Reprimands – 24
Censures – 7
Cases referred to DHC – 89

Grievance Totals for January 2007

There were 327 cases considered this quarter.

During the quarter, pursuant to .0105(19) and (20), the following cases were concluded by the 3 subcommittees, or on vote of the Chair or the Chair and Vice Chair:

235 Dismissed
  3 Dismissed and Retained (1 lawyer had 2 cases)
  6 Lawyers received Letters of Caution
21 Lawyers received Letters of Warning
  3 Lawyer referred to LAP (1 lawyer had 3 cases)
  2 Lawyers who were previously issued Letters of Warning were denied reconsideration
  3 Lawyers received Reprimands (1 lawyer had 2 cases)
  6 Lawyers received Admonitions (1 lawyer had 3 cases)
  4 Lawyers were continued for further investigation (1 lawyer had 7 cases)
3 Lawyers received Censures
10 Lawyers were sent to the DHC (1 lawyer had 7 cases, 1 lawyer had 2 cases, 1 lawyer had 3 cases)
1 Lawyer whose case was previously referred to the DHC was reconsidered and issued a Reprimand

1 Lawyer whose case was previously issued an Admonition was reconsidered and issued a Letter of Warning
1 Lawyer whose case was previously issued a Reprimand was reconsidered and given Letter of Warning
1 Lawyer whose case was previously issued a Reprimand was reconsidered and issued an Admonition
1 Lawyer whose case was previously continued was reconsidered and issued an Admonition
1 Lawyer whose case was previously continued was reconsidered and referred to LAP
1 Lawyer whose case was previously continued was issued an Admonition
1 Lawyer who had 3 cases that were previously continued was issued Censures for all three of his cases
1 Lawyer whose case was previously continued was referred to the DHC

Grievance Totals for April 2007

There were 339 cases considered this quarter.

During the quarter, pursuant to .0105(19) and (20), the following cases were concluded by the 3 subcommittees, or on vote of the Chair or the Chair and Vice Chair:

254 Dismissed
21 Dismissed and Retained
5 Lawyers received Letters of Caution
14 Lawyers received Letters of Warning
2 Lawyers received Reprimands (1 lawyer had 2 cases)
3 Lawyers received Admonitions (1 lawyer had 3 cases)
6 Lawyers' cases were continued for further investigation (1lawyer had 3 cases)
9 Lawyers were sent to the DHC (1 lawyer had 3 cases; 3 lawyers had 2 cases each; 1lawyer had 8 cases, 1lawyer had 6 cases)
1 Lawyer whose case was previously issued an Admonition was reconsidered and dismissed
1 Lawyer’s case was dismissed and referred to the Chief Justice’s Commission on Professionalism.
1 Lawyer’s case was dismissed upon completion of LAP
Grievance Totals for July 2007

There were 344 cases considered this quarter.

During the quarter, pursuant to .0105(19) and (20), the following cases were concluded by the 3 subcommittees, or on vote of the Chair or the Chair and Vice Chair:

279 Dismissed
2 Dismissed and Retained
5 Lawyers received Letters of Caution
12 Lawyers received Letters of Warning
10 Lawyers received Reprimands (2 lawyers had 2 cases each)
6 Lawyers received Admonitions
3 Lawyers received Censures
5 Lawyers' cases were continued for further investigation (1 lawyer had 2 cases)
2 Lawyers' cases were referred to LAP (1 lawyer had 2 cases)
1 Lawyer’s cases which were previously referred to LAP were continued to remain in LAP (1 lawyer had 2 cases)
6 Lawyers were sent to the DHC (1 lawyer had 2 cases)
1 Lawyer’s case which was previously referred to the DHC was reconsidered and continued
1 Lawyer’s case which was previously referred to the DHC was reconsidered and dismissed
1 Lawyer’s cases which were previously given Censures were denied reconsideration (1 lawyer had all three cases)

Grievance Totals for October 2007

There were 351 cases considered this quarter.

During the quarter, pursuant to .0105(19) and (20), the following cases were concluded by the 3 subcommittees, or on vote of the Chair or the Chair and Vice Chair:

260 Dismissed
1 Lawyer's case which was previously dismissed with a Letter of Warning was reconsidered and dismissed.
4 Lawyers received Letters of Caution
13 Lawyers received Letters of Warning
5 Lawyers received Reprimands
5 Lawyers received Admonitions (1 lawyer had 2 cases)
1 Lawyers received Censures
1 Lawyer’s case was continued for further investigation
1 Lawyer’s case was continued for further investigation by the Ethics Committee
1 Lawyer’s cases which previously received Reprimands were reconsidered and continued for further investigation by the Ethics Committee (1 lawyer had 2 cases)
2 Lawyers’ cases were referred to LAP (1 lawyer had 6 cases)
1 Lawyer’s cases which were previously referred to LAP were reconsidered upon completion of LAP and received Reprimands (1 lawyer had 2 cases)
3 Lawyers’ cases which were previously referred to LAP were reconsidered upon completion of LAP and dismissed
1 Lawyer’s case which was previously dismissed with a Letter of Warning was reconsidered and dismissed with a Letter of Caution
12 Lawyers were sent to the DHC (1 lawyer had 12 cases, 1 lawyer had 9 cases, 2 lawyers had 2 cases each, 2 lawyers had 3 cases each)
1 Lawyer’s cases which were previously referred to the DHC was reconsidered and dismissed (1 lawyer had 3 cases)
1 Lawyer’s case which was previously referred to the DHC was reconsidered and received Censures
1 Lawyer’s cases which were previously referred to the DHC was reconsidered and received Reprimands (1 lawyer had 2 cases)
1 Lawyer’s case which was previously referred to the DHC was reconsidered and referred back to the DHC