North Carolina State Bar							
Quarterly Review Report							
Dula 1 15 2/a) Dandam Transaction							

REPORT DA	TE:	20	

Account Name:

Rule 1.15-3(e) Random Transaction Review

Account #:

## GENERAL INFORMATION

- Complete one form for each general trust account, dedicated trust account, and fiduciary account
- Attach the following for each transaction: statement of costs and receipts, client ledger, cancelled checks or images thereof, any other documentation necessary to complete review, and any required explanations
- At least three transactions shall satisfy the requirement in Rule 1.15-3(e), but a larger sample may be advisable

Transaction #1

## 1. Client Name/Matter: Date Range of Disbursement(s) 2. Does client ledger show a negative balance? Set Yes INO If yes, attach explanation and corrective action. 3. Lawyer reviewed the following: Statement of Costs and Receipts Yes 🗆 No (Attach to Report) **Client Ledger** $\Box$ Yes $\Box$ No Cancelled Checks (or images thereof) □ Yes □ No Other □ Yes □ No 🗆 No Number of disbursements 5. Are any disbursements outstanding? □ Yes □ No If yes, attach explanation and corrective action. 6. Were any disbursements improperly made? $\Box$ Yes $\Box$ No If yes, attach explanation and corrective action. Transaction #2 Date Range of Disbursement(s) 1. Client Name/Matter: 2. Does client ledger show a negative balance? Set Yes INO If yes, attach explanation and corrective action. 3. Lawyer reviewed the following: Statement of Costs and Receipts □ Yes □ No (Attach to Report) **Client Ledger** □ Yes □ No Cancelled Checks (or images thereof) Yes Other □ Yes □ No 4. Did the transaction involve multiple disbursements? Yes No Number of disbursements \_\_\_\_ 5. Are any disbursements outstanding? If yes, attach explanation and corrective action. Yes 🗆 No 6. Were any disbursements improperly made? $\Box$ Yes $\Box$ No If yes, attach explanation and corrective action.

## Transaction #3

/ Date Range of Disbursement(s)						:	
2. Does client ledger show a negative balance?  Ves  No If yes, attach explanation and corrective action.							
Statement of C	osts and	Receipt	s	Yes	□ No		
Client Ledger				Yes	□ No		
Cancelled Cheo	cks (or in	hages the	ereof)	Yes	□ No		
Other				Yes	□ No		
4. Did the transaction involve multiple disbursements?		□ No	Numbe	r of disk	oursements _		
5. Are any disbursements outstanding?		□ No	If yes, attach explanation and corrective action.				
6. Were any disbursements improperly made?		□ No	If yes, attach explanation and corrective action.				
	Statement of C Client Ledger Cancelled Cheo Other disbursements?	oalance?  Yes No Statement of Costs and Client Ledger Cancelled Checks (or im Other disbursements? Yes Yes	balance? □ Yes □ No If yes, a Statement of Costs and Receipt Client Ledger Cancelled Checks (or images the Other disbursements? □ Yes □ No	balance?  Yes No If yes, attach ex Statement of Costs and Receipts Client Ledger Cancelled Checks (or images thereof) Other disbursements? Yes No Numbe Yes No If yes, a	palance?       Yes       No       If yes, attach explanation         Statement of Costs and Receipts       Yes         Client Ledger       Yes         Cancelled Checks (or images thereof)       Yes         Other       Yes         disbursements?       Yes         Yes       No         If yes, attach explanation	Dealance?       Yes       No       If yes, attach explanation and correct         Statement of Costs and Receipts       Yes       No         Client Ledger       Yes       No         Cancelled Checks (or images thereof)       Yes       No         Other       Yes       No         disbursements?       Yes       No       No         Yes       No       Number of disbursements       Yes	

## Lawyer Certification

I certify that I personally randomly selected the above transactions, that I personally conducted the review, and that all discrepancies shall be investigated, identified, and resolved within ten days of this review.